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OBLON

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NEUSTADT

P.C.

ATTORNEYS AT LAW 1940 DUKE STREET

(703) 413-3000

ALEXANDRIA VIRGINIA 22314

(703) 413-2220 FACSIMILE OBLONPAT@OBLON.COM WWW.QBLON.COM

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BOX: DAC

Commissioner for Patents Alexandria, VA 22313-1450

(571) 273 8300

ATTN: OFFICE OF PETITIONS

on Date: May 3, 2007

Signature:

Attorney - Edward W. Tracy

Total number of pages including this page: 34

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Dept.: E/M

By: SPW/EDG/EWT/fm

OFFICE OF PETITIONS

OSMM&N File No.250049US26YA

Serial No. 10/799,892

In the matter of the Application of: Eric KAUFFMAN, et al.

For: SERVICE ACTIVITY MANAGEMENT SYSTEM AND METHOD FOR SEMICONDUCTOR

MANUFACTURING EQUIPMENT

Due Date: 6-16-07

- Credit Card Form for \$1,500.00
- Dep. Acct. Order Form

- Cover Letter
- Petition to Revive an Abandoned Application under 37 C.F.R. § 1.137(b)
- Request for Refund under 37 C.F.R. § 1.26(a) w/ Attachments I, II, and III (in duplicate)

1:\atty\et\250049US\250049US-FR 5-2-07.DOC

Unless otherwise indicated or obvious from the nature of the transmittal, the information contained in this facsimile message is attorney privileged and confidential information intended for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error or are not sure whether it is privileged, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service at our Expense. Thank You.

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Docket No.: 250049US26YA

OBLON

SPIVAK

McClelland

MAIER

NEUSTADT

P.C.

BOX: DAC

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313 ATTN: OFFICE OF PETITIONS

RE: Application Serial No.: 10/799,892

Applicants: Eric KAUFFMAN, et al.

Filing Date: March 15, 2004

For: SERVICE ACTIVITY MANAGEMENT SYSTEM

AND METHOD FOR SEMICONDUCTOR

MANUFACTURING EQUIPMENT

Group Art Unit: 3623

Examiner: JARRETT, S.

ATTORNEYS AT LAW

STEVEN P. WEIHROUCH (703) 413-3000 SWEIHROUCH@OBLON.COM

> EDWARD W. TRACY, JR. SENIOR ASSOCIATE (703) 413-2779 ETRACY@OBLON.COM

SIR:

Attached hereto for filing are the following papers:

PETITION TO REVIVE AN ABANDONED APPLICATION UNDER 37 C.F.R. § 1.137(b) REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26(a) (IN DUPLICATE) W/ ATTACHMENTS I, II, AND III

Our credit card payment form in the amount of \$1,500.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

00000006 10799892 05/10/2007 DALLEN

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1500.00 OP

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Customer Number

(703) 413-3000 (phone) (703) 413-2220 (fax)

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Edward W. Tracy, Jr. Registration No. 47,998

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OFFICE OF PETITIONS

1940 DUKE STREET ALEXANDRIA, VIRGINIA 22314 U.S.A. TELEPHONE: 703-413-3000 FACSIMILE: 703-413-2220 WWW.06LON.COM DOCKET NO: 250049US6YA

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

MAY 1 4 2007

IN RE APPLICATION OF ERIC KAUFFMAN, ET AL.

: EXAMINER: JARRETT, S.

OFFICE OF PETITIONS

SERIAL NO: 10/799,892

FILED: MARCH 15, 2004

: GROUP ART UNIT: 3623

FOR: SERVICE ACTIVITY
MANAGEMENT SYSTEM AND
METHOD FOR SEMICONDUCTOR
MANUFACTURING EQUIPMENT

PETITION TO REVIVE AN ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(b)

BOX: DAC

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

ATTN: OFFICE OF PETITIONS

SIR:

Responsive to the Notice of Abandonment dated April 16, 2007, Applicants, through counsel of record, respectfully request that the holding of abandonment for the above-identified application be withdrawn due to the fact that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b) was unintentional. The requirements of 37 C.F.R. §1.137(b) are met by the present submission as follows:

(1) A required reply was filed by the undersigned petitioner as continuation application No. 11/740,195 on April 25, 2007. (As noted in MPEP §711.03(c), for a non-provisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application.);

Application No. 10/799,892 Reply to Notice of Abandonment of April 16, 2007

- (2) The petition fee under 37 C.F.R. §1.17(m) is attached as a credit card payment form for \$1,500.00;
- (3) The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b) was unintentional; and
- (4) No terminal disclaimer is required as the present application was filed after May 29, 2000.

In light of the foregoing being submitted in support of this request, the restoration of this application is earnestly solicited.

The undersigned petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number

22850

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04)

1:ATTY \$1250049US\250049US PET TO WO ABOND.DOC

Steven P. Weihrouch Attorney of Record Registration No. 32,829

Edward Tracy Registration No. 47,998

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MAY 0 3 2007

DOCKET NO: 250049US6YA

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

RECEIVED

ERIC KAUFFMAN, ET AL.

: EXAMINER: JARRETT, S.

MAY 1 4 2007

SERIAL NO: 10/799,892

OFFICE OF PETITIONS

FILED: MARCH 15, 2004

: GROUP ART UNIT: 3623

FOR: SERVICE ACTIVITY
MANAGEMENT SYSTEM AND
METHOD FOR SEMICONDUCTOR
MANUFACTURING EQUIPMENT

REQUEST FOR REFUND UNDER 37 C.F.R. §1.26(a)

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

ATTN: REFUND SECTION,

FINANCIAL ACCOUNTING DIVISION

OFFICE OF FINANCE

SIR:

Applicants herein request a refund under 37 C.F.R. §1.26(a) of the fees paid for the fifth one-month extension of time filed April 25, 2007, in the above-identified application, copies of which are enclosed with a Electronic Acknowledgement Receipt indicating the filing thereof.

Applicants submit that the petition for a fifth one-month extension of time was mistakenly filed on April 25, 2007, and therefore the fee submitted therewith should be refunded.

Application No. 10/799,892 Reply to Notice of Abandonment of April 16, 2007 RECEIVED CENTRAL FAX CENTER MAY 0 3 2007

BACKGROUND FACTS

- 1. On August 21, 2006, Applicants herein filed a Request for Continued Examination along with a petition for a second month extension of time;
- 2. The Applicants' representative received a case-specific, non-regular U.S.P.T.O. communication of which mailing date is September 25, 2006. The communication required the Applicants under 37 C.F.R. §1.105 to provide the specified information. A copy of the communication is attached as Attachment I;
- 3. A required reply was filed by the undersigned petitioner as continuation application No. 11/740,195 on April 25, 2007. Concurrently, a petition for a five month extension was filed in this application. A copy of the petition and Electronic Acknowledgement Receipt is attached as Attachment II; and
- 4. However, the Request for Information under 37 C.F.R. §1.105 had a statutory due date of March 25, 2007, and thus the present application was unintentionally abandoned on March 25, 2007. A Notice of Abandonment was issued in this application on April 16, 2007. A copy of this Notice is attached as Attachment III.

REMARKS

Under 37 C.F.R. §1.26(a), any fee paid by mistake will be refunded. Applicants submit that the fifth one-month extension of time out of the five month extension of time (Attachment II) was filed mistakenly in this application.

As the present application was unintentionally abandoned on March 25, 2007, the filing of the petition for a fifth one-month extension on April 25, 2007 was not necessary, moot, and therefore, a mistake.

Application No. 10/799,892 Reply to Notice of Abandonment of April 16, 2007

Applicants therefore respectfully submit that a refund of \$570.00 for the fifth one-month extension of time filed April 25, 2007 is justified under 37 C.F.R. §1.26(a), and that any refund granted be credited to Deposit Account No. <u>15-0030</u>.

Furthermore, if the Refund Section has any further concerns, they are invited to contact the undersigned directly at 703-413-2779.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number 22850

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04)

(USIMININ 0004) I:\atty\et\250049US\250049US REQ FOR REFUND 5-2-07.DOC Attorney of Record Registration No. 32,829

Edward Tracy

Registration No. 47,998



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Veginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/799,892	03/15/2004	Eric Kauffinan	250049U\$6YA	8339
22850 . 759	09/25/2006	•	EXAMI	NER
C. IRVIN MC	CLELLAND		JARRÉTT,	SCOTT L
OBLON, SPIVA	AK, MCCLELLAND, MA	IER & NEUSTADT, P.C.	ART UNIT	PAPER NUMBER
ALEXANDRIA			3623	
	•		DATE MAILED: 09/25/2000	5

Please find below and/or attached an Office communication concerning this application or proceeding.

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MAY 1 4 2007

OFFICE OF PETITIONS

ATTACHMENT I Serial No. 10/799,892

RECEIVED CENTRAL FAX CENTER

MAY 0 3 2007 Page 2

Application/Control Number: 10/799,892

Art Unit: 3623

Requirement for Information – USC § 1.105

Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

Examiner's research indicates that the applicant and/or assignee have provided a system and method for managing service activities related to semiconductor manufacturing as well as participated in the development and adoption of the International SEMATECH's e-Diagnostics initiative(s) for the semiconductor industry as evidenced by at least the following references (as cited in the Notice of References Cites, PTO-892, mailed March 27, 2006):

- e-Diagnostics Workshop Group Meeting Attendance (2000) teaches Tokyo Electron's participation in the International SEMATECH e-Diagnostics project; and
- Staff, LT, Tokyo Electron Selects Enigma to Support Web Site (2003) teaches
 Tokyo Electron's web-based software application (TELcustomer.com) wherein "TEL has
 been using Enigma-based technology for five years to provide critical maintenance and
 service procedures to its customers and field support teams. Now, with this application,
 TEL is delivering additional productivity to their field services organization and providing
 customers with direct, automatic procurement processes for the parts they need."

Page 3

Application/Control Number: 10/799,892

Art Unit: 3623

In response to this requirement, please provide the names of any products or services that have incorporated the claimed subject matter, specifically information on related to the SEMATECH e-Diagnostic initiative(s) and the methods/systems utilized by the above-cited products and/or services provided by the applicant and/or assignee (e.g. TELcustomer.com).

In response to this requirement, please state the specific improvements of the claimed subject matter in claims 1-41 over the system and method for managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative disclosed above and indicate the specific elements in the claimed subject matter that provide those improvements.

In response to this requirement, please provide the citation and a copy of each publication, which any of the applicants authored or co-authored and which describe the disclosed subject matter of managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative(s).

In response to this requirement, please provide the citation and a copy of each publication that any of the applicants relied upon to develop the disclosed subject matter that describes the applicant's invention, particularly as to managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative(s).

Application/Control Number: 10/799,892

Art Unit: 3623

Page 4

For each publication, please provide a concise explanation of the reliance placed on that publication in the development of the disclosed subject matter.

In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated in the requirement, or where such subject matter is not indicated, the subject matter found in applicant's disclosure.

The fee and certification requirements of 37 C.F.R. § 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 C.F.R. § 1.105 that are included in the applicant's first complete communication responding to this requirement.

Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 C.F.R. § 1.105 are subject to the fee and certification requirements of 37 C.F.R. § 1.97.

The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is

Page 5

Application/Control Number: 10/799,892

99,892

Art Unit: 3623

unknown or cannot be readily obtained will be accepted as a complete response to the requirement for that item.

This requirement is subject to the provisions of 37 C.F.R. §§ 1.134, 1.135 and 1.136 and has a shortened statutory period of 2 months. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

The period for reply is ordinarily set for 2 months.

Application/Control Number: 10/799,892

Art Unit: 3623

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Page 6

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott L. Jarrett whose telephone number is (571) 272-7033. The examiner can normally be reached on Monday-Friday, 8:00AM - 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hafiz Tariq can be reached on (571) 272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SUPERVIL TECHNE.

Electronic Acknowledgement Receipt							
	EFS ID:		1716794				
	Application Number:		10799892				
Inter	national Application Number:	•					
	Confirmation Number:		8339				
Title of Invention:			SERVICE ACTIVITY MANAGEMENT SYSTEM AND METHOD FOR SEMICONDUCTOR MANUFACTURING EQUIPMENT.				
First N	lamed Inventor/Applicant Name:		Eric Kauffman				
	Customer Number:		22850				
	Filer:		Marvin Jay Spivak/LaKls	na Durham			
	Filer Authorized By:		Marvin Jay Spivak				
	Attorney Docket Number:		250049US6YA				
	Receipt Date:		25-APR-2007		,		
	Filing Date:		15-MAR-2004				
	Time Stamp:		18:37:19				
	Application Type:		Utility				
Payment	tinformation:		· · · · · · · · · · · · · · · · · · ·	•			
Submitted wi	ith Payment		yes				
Payment was	Payment was successfully received in RAM		\$2160				
RAM confirm	ation Number		957				
Deposit Acco	ount						
File Listir	ng:		•				
Document Number	Document Description		File Name	File Size(Bytes)	Multi Pages Part /.zip (if appl.)		

1	Extension of Time	250049USEXTENSION.pdf	31818	no	1
Warnings:					
Information:				· · · · · ·	
2	Fee Worksheet (PTO-06)	fee-info.pdf	8208	no	2
Warnings:					
Information:	· · · · · · · · · · · · · · · · · · ·			<u>. </u>	
		Total Files Size (in bytes):		40026	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Docket No. 250049US26YA

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Eric KAUFFMAN, et al.

SERIAL NO: 10/799,892

GAU:

3623

FILED:

March 15, 2004

EXAMINER: JARRETT, S.

FOR:

SERVICE ACTIVITY MANAGEMENT SYSTEM AND METHOD FOR SEMICONDUCTOR

MANUFACTURING EQUIPMENT

REQUEST FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

It is hereby requested that a five month extension of time be granted to April 25, 2007 for filing a response to the Official Action dated; responding to the requirements in the Notice of Allowability dated: [] filing the Formal Drawings. The Issue Fee due has been timely filed. ☐ responding to the Notice to File Missing Parts of Application dated: filing a Continuation Application. filing an Appeal Brief. A Notice of Appeal was filed on: ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown below is reduced by one-half, The required fee of \$2,160.00 is being made by credit card payment and any further charges may be made against the Attorney of Record's Deposit Account No. 15-0030.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAJER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Customer Number 22850

Tol. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Edward W. Tracy, Jr. Registration No. 47,998

> Edwin D. Garlepp Registration No. 45,330



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/799,892	03/15/2004	Eric Kauffman	250049US6YA 8339	
	7590 04/16/2007	AIED & NEIISTAINT DC	EXAM	INER
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET		JARRETT, SCOTT L		
ALEXANDRIA	ALEXANDRIA, VA 22314		ART UNIT	PAPER NUMBER
•			1623	
				·········
	·	•	NOTIFICATION DATE	DELIVERY MODE
	•		04/16/2007	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

> ATTACHMENT III Serial No. 10/426,697

	Application No.	Applicant(s)	MAY 0, 3 200
	10/799,892	KAUFFMAN E	T AL.
Notice of Abandonment	Examiner	Art Unit	
		2000	
The BEAU INC DATE of this and annual	Scott L. Jarrett nication appears on the cover sheet with	3623	
}	nication appears on the cover sheet wil	n die con espondance i	
This application is abandoned in view of:		•	
Applicant's failure to timely file a proper reply (a) A reply was received on (with a Comperiod for reply (including a total extension)	ertificate of Mailing or Transmission dated on of time of month(s)) which expire), which is after the	
(b) A proposed reply was received on			
application in condition for allowance; (2) Continued Examination (RCE) in complia	ince with 37 CFR 1.114).	al fee); or (3) a timely file	d Request for
(c) A reply was received on but it doe final rejection. See 37 CFR 1.85(a) and	s not constitute a proper reply, or a bona 1.111. (See explanation in box 7 below).	fide attempt at a proper n	eply, to the non-
(d) 🖾 No reply has been received.			
2. Applicant's failure to timely pay the required from the mailing date of the Notice of Allowa	nce (PTOL-85).		
(a) The issue fee and publication fee, if ap, which is after the expiration of th Allowance (PTOL-85).	plicable, was received on (with a e statutory period for payment of the issue	Certificate of Mailing or a fee (and publication fee	Transmission dated) set in the Notice of
(b) The submitted fee of \$ is insufficient	nt. A balance of \$ is due.	• ,	
The issue fee required by 37 CFR 1.18	is S The publication fee, if require	d by 37 CFR 1.18(d), is \$	·
(c) The issue fee and publication fee, if appli	cable, has not been received.		
Applicant's failure to timely file corrected draw Allowability (PTO-37).	wings as required by, and within the three-	month period set in, the	Notice of
(a) ☐ Proposed corrected drawings were receivafter the expiration of the period for reply		or Transmission dated _), which is
(b) No corrected drawings have been received	ed.		
4. The letter of express abandonment which is the applicants.	signed by the attorney or agent of record.	the assignee of the entir	e interest, or all of
5. The letter of express abandonment which is 1.34(a)) upon the filing of a continuing applic		a representative capacity	under 37 CFR
6. The decision by the Board of Patent Appeals of the decision has expired and there are no		because the period for s	eeking court review
7. ☐ The reason(s) below:		•	
		11	1
	•	//RH	-
·	<u> </u>	The same of the sa	6014 (877): 24
		Temporal Grants	(j. 16 to 16
Petitions to revive under 37 CFR 1.137(a) or (b), or requiremental any negative effects on patent term.	rests to withdraw the holding of abandonment t	under 37 CFR 1.181, should	be promptly filed to
U.S. Paten and Tredemark Office PTOL-1432 (Rev. 04-01)	Notice of Abandonment	. Part of	Paper No. 20070410

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DOCKET NO: 250049US6YA

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

ERIC KAUFFMAN, ET AL.

: EXAMINER: JARRETT, S.

SERIAL NO: 10/799,892

FILED: MARCH 15, 2004

: GROUP ART UNIT: 3623

FOR: SERVICE ACTIVITY
MANAGEMENT SYSTEM AND
METHOD FOR SEMICONDUCTOR
MANUFACTURING EQUIPMENT

REQUEST FOR REFUND UNDER 37 C.F.R. §1.26(a)

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

ATTN: REFUND SECTION,

FINANCIAL ACCOUNTING DIVISION

OFFICE OF FINANCE

SIR:

Applicants herein request a refund under 37 C.F.R. §1.26(a) of the fees paid for the fifth one-month extension of time filed April 25, 2007, in the above-identified application, copies of which are enclosed with a Electronic Acknowledgement Receipt indicating the filing thereof.

Applicants submit that the petition for a fifth one-month extension of time was mistakenly filed on April 25, 2007, and therefore the fee submitted therewith should be refunded.

Application No. 10/799,892 Reply to Notice of Abandonment of April 16, 2007

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BACKGROUND FACTS

- 1. On August 21, 2006, Applicants herein filed a Request for Continued Examination along with a petition for a second month extension of time;
- 2. The Applicants' representative received a case-specific, non-regular U.S.P.T.O. communication of which mailing date is September 25, 2006. The communication required the Applicants under 37 C.F.R. §1.105 to provide the specified information. A copy of the communication is attached as Attachment I;
- 3. A required reply was filed by the undersigned petitioner as continuation application No. 11/740,195 on April 25, 2007. Concurrently, a petition for a five month extension was filed in this application. A copy of the petition and Electronic Acknowledgement Receipt is attached as Attachment II; and
- 4. However, the Request for Information under 37 C.F.R. §1.105 had a statutory due date of March 25, 2007, and thus the present application was unintentionally abandoned on March 25, 2007. A Notice of Abandonment was issued in this application on April 16, 2007. A copy of this Notice is attached as Attachment III.

REMARKS

Under 37 C.F.R. §1.26(a), any fee paid by mistake will be refunded. Applicants submit that the fifth one-month extension of time out of the five month extension of time (Attachment II) was filed mistakenly in this application.

As the present application was unintentionally abandoned on March 25, 2007, the filing of the petition for a fifth one-month extension on April 25, 2007 was not necessary, moot, and therefore, a mistake.

Application No. 10/799,892 Reply to Notice of Abandonment of April 16, 2007

Applicants therefore respectfully submit that a refund of \$570.00 for the fifth onemonth extension of time filed April 25, 2007 is justified under 37 C.F.R. §1.26(a), and that any refund granted be credited to Deposit Account No. 15-0030.

Furthermore, if the Refund Section has any further concerns, they are invited to contact the undersigned directly at 703-413-2779.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number 22850

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04) I:\attylety250049US\250049US\reg for refund 5-2-07.doc

Attorney of Record Registration No. 32,829

Edward Tracy Registration No. 47,998



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450
Alematria, Virginia 223 13-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	•	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/799,892	03/15/2004	Eric Kauffman		250049US6YA	8339
22850 75	90 09/25/2006	,		EXAM	INER
C. IRVIN MC	CLELLAND	·		JARRETT,	SCOTT L
OBLON, SPIVA		MAIER & NEUSTADT, P.C.		ART UNIT	PAPER NUMBER
ALEXANDRIA				3623	•
				DATE MAILED: 09/25/2000	6

Please find below and/or attached an Office communication concerning this application or proceeding.

ATTACHMENT I Serial No. 10/799,892 Application/Control Number: 10/799,892

Page 2

Art Unit: 3623

Requirement for Information – USC § 1.105

Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

Examiner's research indicates that the applicant and/or assignee have provided a system and method for managing service activities related to semiconductor manufacturing as well as participated in the development and adoption of the International SEMATECH's e-Diagnostics initiative(s) for the semiconductor industry as evidenced by at least the following references (as cited in the Notice of References Cites, PTO-892, mailed March 27, 2006):

- e-Diagnostics Workshop Group Meeting Attendance (2000) teaches Tokyo
 Electron's participation in the International SEMATECH e-Diagnostics project; and
- Staff, LT, Tokyo Electron Selects Enigma to Support Web Site (2003) teaches
 Tokyo Electron's web-based software application (TELcustomer.com) wherein "TEL has
 been using Enigma-based technology for five years to provide critical maintenance and
 service procedures to its customers and field support teams. Now, with this application,
 TEL is delivering additional productivity to their field services organization and providing
 customers with direct, automatic procurement processes for the parts they need."

Application/Control Number: 10/799,892

Art Unit: 3623

Page 3

In response to this requirement, please provide the names of any products or services that have incorporated the claimed subject matter, specifically information on related to the SEMATECH e-Diagnostic initiative(s) and the methods/systems utilized by the above-cited products and/or services provided by the applicant and/or assignee (e.g. TELcustomer.com).

In response to this requirement, please state the specific improvements of the claimed subject matter in claims 1-41 over the system and method for managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative disclosed above and indicate the specific elements in the claimed subject matter that provide those improvements.

In response to this requirement, please provide the citation and a copy of each publication, which any of the applicants authored or co-authored and which describe the disclosed subject matter of managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative(s).

In response to this requirement, please provide the citation and a copy of each publication that any of the applicants relied upon to develop the disclosed subject matter that describes the applicant's invention, particularly as to managing service activities related to semiconductor manufacturing and/or the International SEMATECH's e-Diagnostics initiative(s).

Page 4

Application/Control Number: 10/799,892

Art Unit: 3623

For each publication, please provide a concise explanation of the reliance placed on that publication in the development of the disclosed subject matter.

In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated in the requirement, or where such subject matter is not indicated, the subject matter found in applicant's disclosure.

The fee and certification requirements of 37 C.F.R. § 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 C.F.R. § 1.105 that are included in the applicant's first complete communication responding to this requirement.

Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 C.F.R. § 1.105 are subject to the fee and certification requirements of 37 C.F.R. § 1.97.

The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is

Page 5

Application/Control Number: 10/799,892

Art Unit: 3623

unknown or cannot be readily obtained will be accepted as a complete response to the requirement for that item.

This requirement is subject to the provisions of 37 C.F.R. §§ 1.134, 1.135 and 1.138 and has a shortened statutory period of 2 months. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

The period for reply is ordinarily set for 2 months.

RECEIVED CENTRAL FAX CENTER

MAY U 3 2007 Page 6

Application/Control Number: 10/799,892

Art Unit: 3623

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott L. Jarrett whose telephone number is (571) 272-7033. The examiner can normally be reached on Monday-Friday, 8:00AM - 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hafiz Tariq can be reached on (571) 272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SJ (*)* 9/8/2006 SUPERVICE TECHNE

Electronic Acknowledgement Receipt				
EFS ID:	1716794			
Application Number:	10799892			
International Application Number:				
Confirmation Number:	8339			
Title of Invention:	SERVICE ACTIVITY MANAGEMENT SYSTEM AND METHOD FOR SEMICONDUCTOR MANUFACTURING EQUIPMENT.			
First Named Inventor/Applicant Name:	Eric Kauffman			
Customer Number:	22850			
Filer:	Marvin Jay Spivak/LaKisha Durham			
Filer Authorized By:	Marvin Jay Spivak			
Attorney Docket Number:	250049US6YA			
Receipt Date:	25-APR-2007			
Filing Date:	15-MAR-2004			
Time Stamp:	18:37:19			
Application Type:	Utility			
Payment information:				
Submitted with Payment	yes			
Payment was successfully received in RAM	\$2160			
RAM confirmation Number	957			
Deposit Account				
File Listing:				

Document Number	Document Description	File Name	File Size(Bytes)	Multi	Pages
Hamber			(_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Part /.zip	(if appl.)

ATTACHMENT II

Warnings:	<u> </u>		· · · · · · · · · · · · · · · · · · ·	<u> </u>	
2	Fee Worksheet (PTO-06)	fee-info.pdf	8208	no	2
Information:					
Warnings:			***	<u></u>	
1	Extension of Time	250049USEXTENSION.pdf	31818	по	1

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Docket No. 250049US26YA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Eric KAUFFMAN, et al.

SERIAL NO: 10/799,892

GAU:

3623

FILED:

March 15, 2004

FOR:

EXAMINER: JARRETT, S.

SERVICE ACTIVITY MANAGEMENT SYSTEM AND METHOD FOR SEMICONDUCTOR

MANUFACTURING EQUIPMENT

REQUEST FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

It is hereby requested that a five month extension of time be granted to April 25, 2007 for filing a response to the Official Action dated: responding to the requirements in the Notice of Allowability dated: illing the Formal Drawings. The Issue Fee due has been timely filed. responding to the Notice to File Missing Parts of Application dated: filing a Continuation Application. filing an Appeal Brief. A Notice of Appeal was filed on: ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown below is reduced by ouc-half. The required fee of \$2,160.00 is being made by credit card payment and any further charges may be made against the Artorney of Record's Deposit Account No. 15-0030.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAJER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Customer Number 22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Edward W. Tracy, Jr. Registration No. 47,998

> Edwin D. Garlepp Registration No. 45,330

HJ



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tredemark Office Address; COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandria, Viginia 22311-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/799,892	03/15/2004	Eric Kauffman	250049US5YA 83	
OBLON, SPIV	7590 04/16/2007 AK, MCCLELLAND, M	AIER & NEUSTADT, P.C.	EXAMI	NER
1940 DUKE STREET ALEXANDRIA, VA 22314		JARRETT, SCOTT L		
			ARTUNIT	PAPER NUMBER
•			3623	
			NOTIFICATION DATE	DELIVERY MODE
			04/16/2007	ELECTRÔNIC

Please find below and/or attached an Office communication concerning this application or proceeding.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

> ATTACHMENT III Serial No. 10/426,697

	Application No.	Applicant(s)
Making of About	10/799,892	KAUFFMAN ET AL.
Notice of Abandonment	Examiner	Art Unit
	Soott I Invest	1,000
The MAILING DATE of this communication a	Scott L. Jarrett	3623
·	- Since the the time the time the time the time the time time the time time time time time time time tim	Con espandence audress
This application is abandoned in view of:		·
Applicant's failure to timely file a proper reply to the Off (a) □ A reply was received on (with a Certificate of period for reply (including a total extension of time of the period for reply was received as but it to	Mailing or Transmission dated f month(s)) which expired on), which is after the expiration of the
(b) A proposed reply was received on, but it doe	s not constitute a proper reply under 3	7 CFR 1.113 (a) to the final rejection.
(A proper reply under 37 CFR 1.113 to a final reject application in condition for allowance; (2) a timely fill Continued Examination (RCE) in compliance with 3	ed Notice of Appeal (with appeal fee); 7 CFR 1.114)	or (3) a timely filed Request for
(c) ☐ A reply was received on but it does not consi final rejection. See 37 CFR 1.85(a) and 1.111. (Se	litute a proper reply, or a bona fide atte e explanation in box 7 below).	empt at a proper reply, to the non-
(d) No reply has been received.	p.	•
2. Applicant's failure to timely pay the required issue fee a from the mailing date of the Notice of Allowance (PTOL	nd publication fee, if applicable, within -85).	the statutory period of three months
(a) The issue fee and publication fee, if applicable, we), which is after the expiration of the statutory Allowance (PTOL-85).	as received on (with a Cortific	ate of Mailing or Transmission dated nd publication fee) set in the Notice of
(b) The submitted fee of \$ is insufficient. A balan	ce of \$is due.	•.
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$
(c) The issue fee and publication fee, if applicable, has	not been received.	
 Applicant's failure to timely file corrected drawings as red Allowability (PTO-37). 	quired by, and within the three-month (period set in, the Notice of
 (a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply, 	(with a Certificate of Mailing or Tran	smission dated), which is
(b) No corrected drawings have been received.	·	
4. The letter of express abandonment which is signed by the applicants.	ne attorney or agent of record, the assi	ignee of the entire interest, or all of
 The letter of express abandonment which is signed by a 1.34(a)) upon the filing of a continuing application. 	n attomey or agent (acting in a repres	entative capacity under 37 CFR
 The decision by the Board of Patent Appeals and Interfe of the decision has expired and there are no allowed claim 	rence rendered on and becaus ims.	e the period for seeking court review
7. The reason(s) below:		
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•	ST TOTAL	४०३, ब्याह्म इस्ट्राड एक श्राह्म जन्मात्रक
Politions to revive under 37 CSD 4 427/-> C>		
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra ninimize any negative effects on patent lerm. Patent and Trademark Office	aw the holding of abandonment under 37 C	CFR 1.181, should be promptly filed to
	of Abandonment	Part of Paper No. 20070440